



# SUSPICIOUS TRANSACTION REPORT

## FOR LICENSED DEALERS

- a. This report is made pursuant to the requirement to report suspicious transaction under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLA)
- b. Under section 24 of the AMLA, no civil, criminal or disciplinary proceedings shall be brought against a person who makes a report unless it was made in bad faith

## PART A: INFORMATION ON CUSTOMER

**1) Individual**

Nationality	MALAYSIA		
Name			
Other/previous name	(1)		
	(2)		
	(3)		
New NRIC no		Old NRIC no	
Other identification		Other identification type	
Gender			

**Contact information**

Residential/business address	Correspondence address
Other address	Previous address

Email address	-		
Contact no	- (Off)	- (Res)	- (Mob)
Fax no			

**Employment information**

Business/employment type	
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**RAHSIA**



Occupation	<input type="text"/>
Occupation description	<input type="text"/>
Employer name	<input type="text"/>
Employment area	<input type="text"/>
Other known employment	<input type="text"/>

**Marital information**

Marital status	<input type="text"/>
Spouse name	<input type="text"/>

**Spouse identification**

New NRIC no	<input type="text"/>	Old NRIC no	<input type="text"/>
Other identification	<input type="text"/>	Other identification type	<input type="text"/>
Passport no	<input type="text"/>	Place/country of issue	<input type="text"/>

**PART B: TRANSACTION DETAILS**

Attempted but not completed transaction	<input type="text" value="No"/>		
Name of counter / product code	<input type="text"/>	CDS account no	<input type="text"/>
Branch	<input type="text"/>		
State	<input type="text"/>		
Frequency	<input type="text" value="null"/>		
Transaction date	<input type="text" value="- to -"/>		
Total funds involved	<input type="text" value="0.00"/>		
Account code / counter code	<input type="text"/>	Type of securities	<input type="text"/>
Type of investment mandate	<input type="text"/>	Name of counter party	<input type="text"/>



**PART C: DESCRIPTION OF SUSPICIOUS TRANSACTION**

Grounds for suspicion	Activity inconsistent with customer profile
	Large/unusual sized transaction
	Abnormal settlement instructions
	Clients in high-risk jurisdictions or clients introduced by banks or affiliates or other clients based in high risk jurisdictions
	Transfer of investment proceeds to apparently unrelated third parties
	others
<i>Others (please specify)</i>	
Description of suspected criminal activity	
Details of the nature and circumstances surrounding it	
Date of reporting	