



# SUSPICIOUS TRANSACTION REPORT

## FOR UNIT TRUST MANAGEMENT COMPANIES

- a. This report is made pursuant to the requirement to report suspicious transaction under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLA)
- b. Under section 24 of the AMLA, no civil, criminal or disciplinary proceedings shall be brought against a person who makes a report unless it was made in bad faith

### PART A: INFORMATION ON CUSTOMER

#### Account holder

##### 1) Individual

Nationality	MALAYSIA		
Name			
Other/previous name	(1)		
	(2)		
	(3)		
New NRIC no		Old NRIC no	
Other identification		Other identification type	
Gender			

##### Contact information

Residential/business address

Correspondence address

Other address

RAHSIA



Previous address

Email address

Contact no

- (Off)      - (Res)      - (Mob)

Fax no

**Employment information**

Business/  
employment type

Occupation

Occupation description

Employer name

Employment area

Other known  
employment

**Marital information**

Marital status

Spouse name

**Spouse identification**

New NRIC no

Old NRIC no

Other identification

Other identification type

Passport no

Place/country of issue

**PART B: TRANSACTION DETAILS**

Attempted but not completed transaction

Account no

Name of counter /  
product code

Branch

State



Account type

Transaction date - to -

Total funds involved (MYR)

**PART C: DESCRIPTION OF SUSPICIOUS TRANSACTION**

Grounds for suspicion	Buying and selling of units in large amount without economic value
	Frequent buying and selling of units for specified period
	Buying of units that does not match with unitholder's income
	Frequent buying of units in small amount for specified period
	Buying and selling of units beyond the norm (as compared to previous transaction record)
	Large / unusual initial investment (buying of units)
	Buying and selling of units in large amount, irrespective of the fees and charges incurred.
	Others (Please specify)
<i>Others (please specify)</i>	<input type="text"/>
Description of suspected criminal activity	<input type="text"/>
Details of the nature and circumstances surrounding it	<input type="text"/>

Date of reporting