APPENDIX 5A Targeted Financial Sanctions Reporting (NBFIs) – Upon Determination

REPORTING UPON DETERMINATION: ( ) TERRORISM FINANCING ( ) PROLIFERATION FINANCING ( ) OTHER UN-SANCTIONS REGIMES

Please tick (✓) at the appropriate bracket

ALL Sanctions Regimes	Terrorism Financing
UNSCR No (If Available) : **Date of UN Listing** :	**Type of Lists** : **Domestic List ( ) UNSCR List ( )** **Circular/Gazette Reference No.** : **Circular/Gazette Reference Date** :

No.	UNSCR Permanent Ref No/MOHA Reference No (e.g. KPi.001/KDN.I.01-2014)	Customer Name	Address	NRIC/Passport No.	Reporting Institution Name	Branch maintaining the account and facility	Account no.	Account/Facility/Financial Services Type	Date financial services given (DD/MM/YYYY)	Account/Facility Status (before designation)	Status of Account/facility/ financial services status *(after designation) (e.g. frozen, expired/terminated, lapsed, etc.)*	Date account/facility/financial services frozen/expire/terminated/lapsed, etc.) (DD/MM/YYYY)	Balance as at (for each account/facility/financial services) :			Related Parties	Remarks
													· Banking (CR)/	· Banking (DR)	Please state the type and value of property for loan accounts		
1.																	
2.																	

Reporting Institution Details

Reporting Institution Name : (*please state all entities under the group if reporting done on group* basis)
Sector :
Contact Person :
Designation :
Tel No. :
E-mail :
Reporting Date :

Notes: Please submit the completed form to -

Reporting for ALL sanctions regimes	In addition, reporting for TFS on Terrorism Financing
Email	**Address**
Financial Intelligence and Enforcement Department, Bank Negara Malaysia • Address : amlsanctions@bnm.gov.my • Subject : Reporting upon Determination (CTF/CPF/OSR*) **to specify relevant sanctions regime*	**Ketua Polis Negara** (a) u/p: Pasukan Siasatan Jenayah Pengubahan Wang Haram dan Pembiayaan Keganasan Urusetia Pejabat Ketua Polis Negara, Tingkat 23, Menara 238, Jalan Tun Razak, 50400, Kuala Lumpur (b) u/p : Bahagian E8,Cawangan Khas Tingkat 24, Menara 2, Ibu Pejabat Polis, Bukit Aman, 50560, Kuala Lumpur

APPENDIX 5B Targeted Financial Sanctions Reporting (DNFBPs) – Upon Determination

REPORTING UPON DETERMINATION: ( ) TERRORISM FINANCING ( ) PROLIFERATION FINANCING ( ) OTHER SANCTIONED REGIMES

Please tick (✓) at the appropriate bracket

ALL Sanctions Regimes	Terrorism Financing
UNSCR No (If Available) : **Date of UN Listing** :	**Type of Lists** : **Domestic List ( ) UNSCR List ( )** **Circular/Gazette Reference No.** : **Circular/Gazette Reference Date** :

No.	UNSCR Permanent Ref No/MOHA Reference No (e.g. KPi.001/KDN.I.01-2014)	Customer Name	Address	NRIC/Passport No.	Reporting Institution Name	Branch providing the product/service/facility (if applicable)	Product/service/facility offered, e.g. pawn, loan	Date of funds received by the reporting institution (DD/MM/YY)	Customer status (*before* *designation*) *e.g. existing/new on-boarding*	Status of product/service facility (*after designation*) *(e.g. frozen, terminated, etc.)*	Date product/service/facility frozen/terminated etc.) (DD/MM/YY)	Value of product/service/facility	Please state the type and value of property for transactions relating to a property	Related Parties	Remarks
1.															
2.															
3.															
4.															

Reporting Institution Details

Reporting Institution Name :
Sector :
Contact Person :
Designation :
Tel No. :
E-mail :
Reporting Date :

Notes: Please submit the completed form to -

Reporting for ALL sanctions regimes	In addition, reporting for TFS on Terrorism Financing
Email	**Address**
Financial Intelligence and Enforcement Department, **Bank Negara Malaysia** • Address : sanctions@bnm.gov.my • Subject : Reporting upon Determination (CTF/CPF/OSR*) **to specify relevant sanctions regime*	**Ketua Polis Negara** (c) u/p: Pasukan Siasatan Jenayah Pengubahan Wang Haram dan Pembiayaan Keganasan Urusetia Pejabat Ketua Polis Negara, Tingkat 23, Menara 238, Jalan Tun Razak, 50400, Kuala Lumpur (d) u/p: Bahagian E8,Cawangan Khas Tingkat 24, Menara 2, Ibu Pejabat Polis, Bukit Aman, 50560, Kuala Lumpur

APPENDIX 6A Targeted Financial Sanctions Reporting (NBFIs) - Periodic Reporting on Positive Name Match

PERIODIC REPORTING ON POSITIVE NAME MATCH: ( ) TERRORISM FINANCING ( ) PROLIFERATION FINANCING ( ) OTHER SANCTIONED REGIMES

Please tick (✓) at the appropriate bracket

Only for reporting on Terrorism Financing*	
Type of Lists	**: Domestic List ( ) UNSCR List ( )**

No.	UNSCR Permanent Ref No/MOHA Reference No (e.g. KPi.001/KDN I.01-2014)	Customer Name	Address	NRIC/Passport No.	Reporting Institution Name	Branch maintaining the account and facility	Account no.	Account/Facility/Financial Services Type	Date financial services given (DD/MM/YYYY)	Customer status (*before designation*) *e.g. existing/new on-boarding*	Status of Account/facility/financial services (*after designation*) *(e.g. frozen, expired/terminated, lapsed, etc.)*	Date account/facility /financial services frozen, expired/terminated/lapsed, etc. (DD/MM/YY)	Previous Account Balance (Previous Reporting)			Transaction Details (line by line transaction)					New Account Balance as at: (DD/MM/YYYY)			Related Parties	Remarks
													· Banking (CR)	· Banking (DR)	Please state the type and value of property for loan accounts	Transaction No	Date (DD/MM/YY)	Type (CR/DR)	Amount (MYR)	Remarks	· Banking (CR)	· Banking (DR)	Please state the type and value of property for loan accounts		
1.																									
																1.									
																2.									
2.																									
3.																									

Reporting Institution Details

Reporting Institution Name : (*please state all entities under the group if reporting done on group* basis)
Sector :
Contact Person :
Designation :
Tel No. :
E-mail :
Reporting Date :

Notes:

Please submit the completed form to	**Submission dates**	
Financial Intelligence and Enforcement Department, **Bank Negara Malaysia** • Email Address : sanctions@bnm.gov.my • Subject : Reporting upon Determination (CTF/CPF/OSR*) **to specify relevant sanctions regime*	**Terrorism Financing:**	UNSC List: Every 5th January and 5th July Domestic List: Every 15th May and 15th November

APPENDIX 6B Targeted Financial Sanctions Reporting (DNFBPs) - Periodic Reporting on Positive Name Match

PERIODIC REPORTING ON POSITIVE NAME MATCH: ( ) TERRORISM FINANCING ( ) PROLIFERATION FINANCING ( ) OTHER SANCTIONED REGIMES

Please tick (✓) at the appropriate bracket

Only for reporting on Terrorism Financing*
Type of Lists : Domestic List ( ) UNSCR List ( )

No.	UNSCR Permanent Ref No/MOHA Reference No (e.g. KPi.001/KDN.I.01-2014)	Customer Name	Address	NRIC/Passport No.	Reporting Institution Name	Branch providing the product/service/facility (if applicable)	Product/service/facility offered, e.g. pawn, loan	Date of funds received by the reporting institution (DD/MM/YY)	Account/Facility Status (before designation)	Status of product/service facility (after designation) (e.g. frozen, terminated, etc.)	Date of funds received by the reporting institution (DD/MM/YY)	Value of product/service/facility	Transaction Details (line by line transaction)					New Account Balance as at: (DD/MM/YY)			Related Parties	Remarks
													Transaction No	Date (DD/MM/YY)	Type (CR/DR)	Amount (MYR)	Remarks	. Banking (CR)	. Banking (DR)	Please state the type and value of property for loan accounts		
1.																						
													1.									
													2.									
2.																						
3.																						

Reporting Institution Details

Reporting Institution Name : (*please state all entities under the group if reporting done on group* basis)
Sector :
Contact Person :
Designation :
Tel No. :
E-mail :
Reporting Date :

Notes:

Please submit the completed form to	**Submission dates**	
Financial Intelligence and Enforcement Department, Bank Negara Malaysia • Email Address : sanctions@bnm.gov.my • Subject : Reporting upon Determination (CTF/CPF/OSR*) **to specify relevant sanctions regime*	**Terrorism Financing:**	UNSC List: Every 5th January and 5th July Domestic List: Every 15th May and 15th November
	Proliferation Financing & Other Sanctioned Regimes:	Only if there is any changes to the frozen funds (after first time reporting on positive name match) and latest by 15 January of the following calendar year